



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Wang, Lindquist, Pao Lee, Chen
Application No.:	10/087653
Filed:	February 28, 2002
For:	Medical Device Balloons with Improved Strength Properties and Processes for Producing the Same
Examiner:	Not Assigned
Group Art Unit:	3763

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JUL 14 2003
TECHNOLOGY CENTER R3700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: S63.2B-10249-US01

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

 I. This statement qualifies as a no-fee Information Disclosure Statement under *37 C.F.R. §1.97(b)* or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- (1) within 3 months of the filing date of the application (other than a CPA); or
- (2) within 3 months of entry of the national stage; or
- (3) before the mailing of a first Office Action on the merits;
- (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- (5) as part of a continued prosecution application (CPA); or
- (6) during the period of a suspension of action for a CPA under *37 C.F.R. §1.103(b)*.

X **II.** This statement is believed to require a fee or the submission of a certification under *37 C.F.R. §1.97 (c)* or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- X (1) a certification as specified in §1.97(e) is provided below; or
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

III. *37 C.F.R. §1.97(d).* If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- (1) a certification as specified in §1.97(e) is completed below; and
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

X *IV. Fee Authorization.* If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

If paragraph II.1 or III is checked, also check one of the paragraphs below

- X I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.
- I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise.

Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: July 8, 2003

By: 

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ATTY DOCKET NO.: S63.2B-10249-US01		APPLICATION NO.: 10/087653
APPLICANT: Wang, Lindquist, Pao Lee, Chen		
FILING DATE: February 28, 2002		GROUP: 3763

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA 4,490,421	12/25/84	Levy	428/35	RECEIVED	JUL 14 2003
	AB 5,264,260	11/23/93	Saab	428/35.5		
	AC 4,906,244	3/6/90	Pinchuk et al	606/194 TECHNOLOGY CENTER R3700		
	AD 5,328,468	7/12/94	Kaneko et al	604/96		
	AE 4,950,239	8/21/90	Gahara et al	604/96		
	AF 5,500,180	3/19/96	Anderson et al	264/532		
	AG 5,556,383	9/17/96	Wang et al	604/96		
	AH 6,146,356	11/14/00	Wang et al	604/96		
	AI 6,270,522	8/7/01	Simhambhatla et al	623/1.11		
	AJ 5,344,400	9/6/94	Kaneko et al	604/96		
	AK 5,250,069	10/5/93	Nobuyoshi et al	606/192		
	AL 5,797,877	8/25/98	Hamilton et al	604/96		
	AM 5,270,086	12/14/93	Hamlin	428/35.2		
	AN 4,963,313	10/16/90	Noddin et al	264/573		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
	BA 5 40858	9/11/92	EP
	BB 98/03218	1/29/98	WO
	BC 99/64101	12/16/99	WO
	BD 2 342 310	4/12/00	GB

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

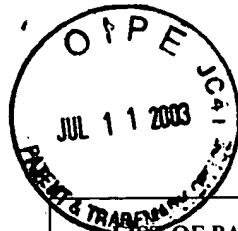
CA	S. Levy, "Improved Dilatation Catheter Balloons," <i>J. Clinical Engineering</i> , Vol. 11, No. 4, July – August 1986, 291-295, at p 293.		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2B-10249-US01		APPLICATION NO. 10/087653
		RECEIVED TECHNOLOGY CENTER A3700 JUL 14 2003		
		FILING DATE: February 28, 2002	GROUP: 3763	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY				
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
	DA	09/672,330	9/28/00	Nao Pao Lee, Daniel Horn and Ken Zhang
	DB			
	DC			
	DD			
	DE			
EXAMINER			DATE CONSIDERED	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

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US APPLICATIONS RELATED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					
EXAMINER			DATE CONSIDERED			
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**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**
(Use several sheets if necessary)

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	AA	5,306,246	4/26/94	Sahatjian et al	604/96	
	AB	4,935,190	6/19/90	Tennerstedt	264/529	
	AC	5,714,110	2/3/98	Wang et al	264/529	
	AD	5,403,304	4/4/95	Ishida	604/403	
	AE	3,508,554	4/28/70	Sheridan	128/348	
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY
	BA	2 059 328		GB
	BB	02/26308 A1	04/04/02	WO
	BC			
	BD			
	BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

CA				

EXAMINER**DATE CONSIDERED**

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